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**REQUEST  
FOR  
CONTINUED EXAMINATION (RCE)  
TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,  
provides for continued examination of an utility or plant application  
filed on or after June 8, 1995.  
See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/466,828
Filing Date	December 20, 1999
First Named Inventor	Shunpei YAMAZAKI et al
Group Art Unit	2818
Examiner Name	R. Berry
Attorney Docket Number	0756-2077

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.  
**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. **Submission required under 37 C.F.R. § 1.114**

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_


2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(l) required)
- b. ☐ Other \_\_\_\_\_

3. **Fees** The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

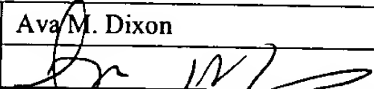
- a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. \_\_\_\_\_
- i. ☐ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Check in the amount of \$842.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type)	Eric J. Robinson	Registration No. (Attorney/Agent)	38,285
Signature		Date	October 30, 2002

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

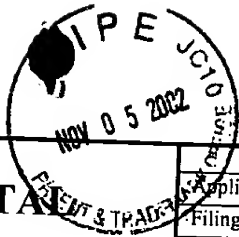
Name (Print/Type)	Ava M. Dixon		
Signature		Date	October 30, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS: SEND Fees and Completed Forms to the following address: Commissioner for Patents, Box RCE, Washington, DC 20231.

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01 FC:1801  
02 FC:1201  
03 FC:1202



# FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 842.00)

Application Number 09/466,828  
Filing Date December 20, 1999  
First Named Inventor Shunpei YAMAZAKI et al.  
Examiner Name R. Berry  
Group Art Unit 2818  
Attorney Docket No. 0756-2077

Complete if Known

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## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-2280

Deposit Account Name Robinson Intellectual Property Law Office

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 and credit overpayments

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101	201	Utility filing fee	
106	206	Design filing fee	
107	207	Plant filing fee	
108	208	Reissue filing fee	
114	214	Provisional filing fee	

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES

Total Claims 30 -29\*\* = 1 X 18 = 18.00  
Independent Claims 6 -5\*\* = 1 X 84 = 84.00  
Multiple Dependent =

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103	203	Claims in excess of 20
102	202	Independent claims in excess of 3
104	204	Multiple dependent claim, if not paid
109	209	** Reissue independent claims over original patent
110	210	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 102.00)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description
105	205	65 Surcharge - late filing fee or oath
127	227	25 Surcharge - late provisional filing fee or cover sheet
139	239	130 Non-English specification
147	247	2,520 For filing a request for <i>ex parte</i> reexamination
112	212	920* Requesting publication of SIR prior to Examiner action
113	213	1,840* Requesting publication of SIR after Examiner action
115	215	55 Extension for reply within first month
116	200	200 Extension for reply within second month
117	460	460 Extension for reply within third month
118	720	720 Extension for reply within fourth month
128	228	980 Extension for reply within fifth month
119	219	160 Notice of Appeal
120	220	160 Filing a brief in support of an appeal
121	221	140 Request for oral hearing
138	138	1,510 Petition to institute a public use proceeding
140	240	55 Petition to revive - unavoidable
141	241	640 Petition to revive - unintentional
142	242	640 Utility issue fee (or reissue)
143	243	230 Design issue fee
144	244	310 Plant issue fee
122	122	130 Petitions to the Commissioner
123	123	50 Processing fee under 37 CR 1.17(q)
126	126	180 Submission of Information Disclosure Stmt
581	581	40 Recording each patent assignment per property (times number of properties)
146	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))
149	249	370 For each additional invention to be examined (37 CFR § 1.29(b))
179	279	370 Request for Continued Examination (RCE)
169	169	900 Request for expedited examination of a design application

Fee Paid

740.00

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 740.00)

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on October 30, 2002.

Name: Ava M. Dixon

## SUBMITTED BY

Name (Print/Type) Eric J. Robinson

Signature

Registration No. 38,285  
(Attorney/Agent)

Complete (if applicable)

Telephone (571) 434-6789

Date October 30, 2002